

# QUANTUM STATES

Operations on sets

Let  $\Sigma$  and  $\Gamma$  be finite sets.

1) Direct product

$$\Sigma \times \Gamma = \{ (a, b) : a \in \Sigma, b \in \Gamma \}$$

2) Disjoint union  $\Sigma \sqcup \Gamma$  consisting of  $a \in \Sigma$  and  $b \in \Gamma$ .

More formally

$$\Sigma \sqcup \Gamma = \{ (a, 0), (b, 1) : \begin{array}{l} a \in \Sigma \\ b \in \Gamma \end{array} \}$$

3) Set of functions

$$F(\Sigma, \Gamma) = \{ f: \Sigma \rightarrow \Gamma \}$$

A function  $f: \Sigma \rightarrow \Gamma$  is called a bijection if it is one-to-one and onto. In this case we write  $\Sigma \cong \Gamma$ .

Ex:  $\Sigma \cong \{0, 1, \dots, |\Sigma| - 1\}$  where

$|\Sigma|$  denotes the size of the set.

## Registers

A register is an abstraction of a physical system on which data can be stored.

Each register has associated to it a set  $\Sigma$  of classical states:

$$\boxed{a \in \Sigma}$$

Given two registers we can form the compound register:

$$\boxed{\boxed{a \in \Sigma} \quad \boxed{b \in \Gamma}}$$

The set of classical states of the compound register is given by  $\Sigma \times \Gamma$ .

## Hilbert spaces

In quantum information theory we associate the Hilbert space  $\mathbb{C}\Sigma$  to a register with a set  $\Sigma$  of classical states. The Hilbert space  $\mathbb{C}\Sigma$  has basis given by

$$\left\{ \underbrace{|a\rangle}_{\text{ket}} : a \in \Sigma \right\}.$$

A vector  $v \in \mathbb{C}\Sigma$  is of the form

$$|v\rangle = \sum_{a \in \Sigma} \alpha_a |a\rangle, \quad \alpha_a \in \mathbb{C}.$$

Vector space structure

1) Addition:

$$\begin{aligned} u + v &= \sum_{a \in \Sigma} \beta_a |a\rangle + \sum_{a \in \Sigma} \alpha_a |a\rangle \\ &= \sum_{a \in \Sigma} (\beta_a + \alpha_a) |a\rangle \end{aligned}$$

$$\text{where } |u\rangle = \sum_a \beta_a |a\rangle.$$

2) Scalar multiplication:

$$\alpha v = \sum_{a \in \Sigma} \alpha \alpha_a |a\rangle.$$

The inner product on  $\mathbb{C}\Sigma$  is defined by

$$\langle u, v \rangle = \sum_{a \in \Sigma} \overline{p_a} \alpha_a$$

Note that

$$1) \langle u, \alpha v + \beta w \rangle = \alpha \langle u, v \rangle + \beta \langle u, w \rangle$$

$$2) \langle u, v \rangle = \overline{\langle v, u \rangle}$$

$$3) \langle u, u \rangle \geq 0 \quad \text{and} \quad \langle u, u \rangle = 0$$

if and only if  $u = 0$ .

In Dirac notation we write  $\langle u | v \rangle$ .

The standard basis  $\{|a\rangle : a \in \Sigma\}$  is orthonormal:

$$\langle a | b \rangle = \delta_{ab} = \begin{cases} 1 & a = b \\ 0 & \text{otherwise.} \end{cases}$$

The norm of  $v \in \mathbb{C}\Sigma$  is defined by

$$\|v\| = \sqrt{\langle v, v \rangle}.$$

lem: Norm determines the inner product:

$$\langle u, v \rangle = \frac{\|u+v\|^2 - \|u-v\|^2 + \|u+iv\|^2 - \|u-iv\|^2}{4}$$

In general, a Hilbert space is a vector space  $V$  together with an inner product

$$\langle -, - \rangle : V \times V \rightarrow \mathbb{C}.$$

Let  $S = \{ v_a : a \in \Sigma \} \subset V$ .

We say  $S$  is linearly independent if  $\exists \{ \alpha_a \in \mathbb{C} \}_{a \in \Sigma}$  not all zero such that

$$\sum_{a \in \Sigma} \alpha_a v_a = 0.$$

The subspace

$$\text{Span}(S) = \left\{ \sum_{a \in \Sigma} \alpha_a v_a : \alpha_a \in \mathbb{C} \right\}$$

is called the span of  $S$ .

If  $\text{Span}(S) = V$  then we say  $S$  is spanning.

A linearly independent spanning set is called a basis.

Every vector space comes with a basis  $\{ v_a : a \in \Sigma \}$ .

The dimension of  $V$  is defined by

$$\dim V = |\Sigma|,$$

The set  $S$  is called orthogonal if

$$\langle v_a, v_b \rangle = 0 \quad \forall a \neq b$$

and orthonormal if

$$\langle v_a, v_b \rangle = \delta_{ab} = \begin{cases} 1 & a=b \\ 0 & \text{otherwise.} \end{cases}$$

We can identify  $\Sigma \cong \{0, 1, \dots, |\Sigma|-1\}$ .

A basis  $\{v_i : i = 0, 1, \dots, |\Sigma|-1\}$  can be converted to an orthonormal basis by using the Gram-Schmidt procedure:

$$\tilde{v}_0 = \frac{1}{\|v_0\|} v_0$$

$$\tilde{v}_k = \frac{v_k - \sum_{i=0}^{k-1} \langle \tilde{v}_i, v_k \rangle \tilde{v}_i}{\|v_k - \sum_{i=0}^{k-1} \langle v_i, v_k \rangle \tilde{v}_i\|}$$

where  $0 \leq k \leq |\Sigma|-1$ .

The set  $\{\vec{v}_i\}$  is orthonormal:

$$\langle \vec{v}_i, \vec{v}_j \rangle = \delta_{ij}.$$

HW: Verify this.

Cauchy - Schwarz inequality

$$|\langle u, v \rangle| \leq \|u\| \|v\| \quad \forall u, v \in V$$

with equality if and only if  $u$  or  $v$  linearly dependent.

Proof in the Appendix.

## Linear operators

A linear operator (map)  $A: V \rightarrow W$  is a function such that

$$A(\alpha v + \beta u) = \alpha Av + \beta Au.$$

We will write  $L(V, W)$  for the set of linear operators.

$L(V, W)$  is a vector space:

1)  $A+B$  is the linear operator defined by

$$(A+B)(v) = Av + Bv$$

2)  $\alpha A$  is defined by

$$(\alpha A)(v) = \alpha A(v),$$

Ex: The zero operator

$$\mathbb{0}: V \rightarrow V$$

$$\mathbb{0}(v) = 0 \quad \forall v \in V$$

The identity operator

$$\mathbb{1}: V \rightarrow V$$

$$\mathbb{1}(v) = v \quad \forall v \in V$$



Let  $\{v_a : a \in \Sigma\}$  and  $\{w_b : b \in \Gamma\}$   
be orthonormal bases for  $V$  and  $W$ .

A linear operator  $A: V \rightarrow W$  is  
uniquely specified by

$$Av_b = \sum_{a \in \Gamma} \langle w_a, Av_b \rangle w_a.$$

The coefficients can be assembled  
into a function

$$A: \Gamma \times \Sigma \rightarrow \mathbb{C}$$

$$A(a, b) = \langle w_a, Av_b \rangle.$$

We call this function the matrix representation.

In matrix representation the composition  
of  $A: \Lambda \times \Sigma \rightarrow \mathbb{C}$  and  $B: \Gamma \times \Lambda \rightarrow \mathbb{C}$   
is given by

$$BA: \Gamma \times \Sigma \rightarrow \mathbb{C}$$

$$BA(a, b) = \sum_{c \in \Lambda} B(a, c) A(c, b).$$

We can convert  $A: \Gamma \times \Sigma \rightarrow \mathbb{C}$  to an ordinary matrix by choosing

$$\Sigma \cong \{0, 1, \dots, |\Sigma| - 1\}$$

$$\Gamma \cong \{0, 1, \dots, |\Gamma| - 1\}.$$

The composition of operators is given by the usual matrix multiplication.

The standard basis of  $L(V, W)$  consists of  $\{E_{ab} : a \in \Sigma, b \in \Gamma\}$  defined by

$$E_{ab}: \Gamma \times \Sigma \rightarrow \mathbb{C}$$

$$\begin{aligned} E_{ab}(c, d) &= \delta_{(a,b), (c,d)} \\ &= \begin{cases} 1 & (c, d) = (a, b) \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

As an ordinary matrix

$$E_{ij} = \begin{pmatrix} 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{pmatrix}$$

## Linear isometry

A linear operator  $A: V \rightarrow W$  is called a linear isometry if

$$\|A v\| = \|v\| \quad \forall v \in V.$$

We will write  $U(V, W)$  for the set of linear isometries.

We say  $V$  is isomorphic to  $W$  if there exists a linear isometry  $A: V \rightarrow W$  and  $\dim V = \dim W$ .

Ex: 1) Choosing a basis gives an isomorphism

$$V \cong \mathbb{C}^\Sigma.$$

2)  $\mathbb{C}^\Sigma = F(\Sigma, \mathbb{C})$  is a Hilbert space with basis given by the functions

$$e_a: \Sigma \rightarrow \mathbb{C}$$

$$e_a(b) = \delta_{ab} = \begin{cases} 1 & a=b \\ 0 & \text{otherwise.} \end{cases}$$

The linear operator

$$\mathbb{C}^\Sigma \longrightarrow \mathbb{C}^\Sigma$$

$$e_a \longmapsto |a\rangle$$

gives an isomorphism  $\mathbb{C}^\Sigma \cong \mathbb{C}^\Sigma$ .

3) Matrix representation gives an isomorphism:

$$L(\mathbb{C}^\Sigma, \mathbb{C}^\Gamma) \cong \mathbb{C}^{\Gamma \times \Sigma}.$$

Pro: For  $A \in L(V, W)$  the following are equivalent.

1)  $A$  is a linear isometry.

$$2) \langle Av, Au \rangle = \langle v, u \rangle \quad \forall v, u \in V.$$

Proof: (1  $\Rightarrow$  2) This follows from the fact that the inner product is determined from the norm.

(2  $\Rightarrow$  1) Take  $u=v$ . □

HW: Write a more detailed proof for (1  $\Rightarrow$  2).

The adjoint of a linear operator  $A: V \rightarrow W$  is the linear operator

$$A^+: W \rightarrow V$$

uniquely specified by the equation

$$\langle w, Av \rangle = \langle A^+ w, v \rangle$$

for all  $v \in V, w \in W$ .

Pro: The matrix representation of  $A^+$  is given by  $A^+(a,b) = \overline{A(b,a)}$ .

Proof: We have

$$A^+(a,b) = \langle w_a, A^+ v_b \rangle$$

$$= \overline{\langle A^+ v_b, w_a \rangle}$$

$$= \overline{\langle v_b, Aw_a \rangle}$$

$$= \overline{A(b,a)} .$$

□

Notation: Transpose of a matrix

$$A^T(a,b) = A(b,a)$$

Conjugate of a matrix

$$\overline{A}(a,b) = \overline{A(a,b)}$$

$A^+$  is the conjugate transpose of  $A$ .

A vector  $v \in V$  can be regarded as  
a linear operator

$$\begin{aligned} v: \mathbb{C} &\longrightarrow V \\ 1 &\longmapsto v \end{aligned}$$

Then the adjoint is the linear operator

$$v^+: V \longrightarrow \mathbb{C}$$

given by

$$\begin{aligned} v^+(u) &= \langle 1, v^+(u) \rangle \\ &= \overline{\langle v^+(u), 1 \rangle} \\ &= \overline{\langle u, v(1) \rangle} \\ &= \overline{\langle u, v \rangle} = \langle v, u \rangle. \end{aligned}$$

Using this construction we can define  
a linear operator:

$$w v^+: V \longrightarrow W.$$


This means that the composition of  
 $v^+: V \longrightarrow \mathbb{C}$  and  $w: \mathbb{C} \longrightarrow W$ :

$$\begin{aligned} w v^+(u) &= w(\langle v, u \rangle) \\ &= \langle v, u \rangle w. \end{aligned}$$

In Dirac notation  $v^t$  is denoted by a bra  $\langle v|$ .

Then

$$\langle v|(Iu) = \langle v|u\rangle.$$

  
inner product in  
Dirac notation.

The operator  $wv^t$  is written as  $|w\rangle\langle v|$  and

$$\begin{aligned} |w\rangle\langle v|(Iu) &= |w\rangle\langle v|u\rangle \\ &= \langle v|u\rangle |w\rangle. \end{aligned}$$

The basis operators  $E_{ab}$  will be denoted by  $|a\rangle\langle b|$ .

For  $A: V \rightarrow W$  we can write

$$A = \sum_{a,b} A(a,b) |a\rangle\langle b|.$$

Pro:  $(A^\dagger)^\dagger = A$

$$(BA)^\dagger = A^\dagger B^\dagger.$$

HW: Prove this.

Pro:  $A$  is an isometry if and only if  $A^\dagger A = \mathbb{1}_V$ .

Proof: We have

$$\langle Av, Au \rangle = \langle v, u \rangle$$

if and only if

$$\langle A^\dagger Av, u \rangle = \langle v, u \rangle$$

which implies that  $A^\dagger A = \mathbb{1}_V$ .  $\square$

When  $V = W$  we will write  $U(V)$  for  $U(V, V)$ .

$U(V)$  has the structure of a group:

1)  $A, B \in U(V)$  then  $AB \in U(V)$

2)  $\mathbb{1}_V$  is the identity element:

$$A \mathbb{1}_V = \mathbb{1}_V A = A, \quad \forall A$$

3) Every  $A \in U(V)$  has an inverse:

$$A^\dagger A = A^\dagger A = \mathbb{1}_V$$



## Direct sum

The direct sum of  $\mathbb{C}\Lambda$  and  $\mathbb{C}\Gamma$  is defined as the vector space

$$\mathbb{C}\Lambda \oplus \mathbb{C}\Gamma = \mathbb{C}[\Lambda \cup \Gamma].$$

A vector in  $\mathbb{C}\Lambda \oplus \mathbb{C}\Gamma$  can be uniquely expressed as

$$v = \sum_{a \in \Lambda} \alpha_a |a\rangle + \sum_{b \in \Gamma} \beta_b |b\rangle.$$

For a subspace  $W \subset V$  we write

$$W^\perp = \{v \in V : \langle w, v \rangle = 0, \forall w \in W\}$$

Pro:  $V \cong W \oplus W^\perp$ .

Proof: Choose an orthonormal basis

$\{w_a : a \in \Lambda\}$  for  $W$  and extend it to an orthonormal basis

$$\{w_a : a \in \Lambda\} \cup \{u_b : b \in \Gamma\}$$

for  $V$ . Then  $W \cong \mathbb{C}\Lambda$ ,  $W^\perp \cong \mathbb{C}\Gamma$

and  $V \cong \mathbb{C}\Sigma$  where  $\Sigma = \Lambda \cup \Gamma$ .  $\square$

Cor:  $(W^\perp)^\perp = W$ .

The kernel of  $A$  is defined by

$$\ker A = \{ v \in V : Av = \mathbf{0} \}$$

and the image of  $A$  is defined by

$$\operatorname{im} A = \{ Av \in W : v \in V \}.$$

We have

$$\dim V = \dim(\operatorname{im} A) + \dim(\ker A)$$

The dimension of the image is called the rank of  $A$ :

$$\operatorname{rank}(A) = \dim(\operatorname{im} A).$$

Pro:  $\ker A^+ = (\operatorname{im} A)^\perp$

Proof: For  $w \in W$  we have

$$\begin{aligned} A^+ w = 0 &\iff \langle A^+ w, v \rangle = 0 \quad \forall v \in V \\ &\iff \langle w, Av \rangle = 0 \quad \forall v \in V \\ &\iff w \in (\operatorname{im} A)^\perp. \quad \square \end{aligned}$$

Cor :  $\text{im } A = \text{im } A A^+$ .

Proof : We will show that

$$\ker A^+ = \ker A A^+.$$

Then the result follows from the Propositions :

$$\text{im } A = (\ker A^+)^{\perp} = (\ker A A^+)^{\perp} = \text{im } A A^+.$$

We have

$$\ker A^+ \subset \ker A A^+ :$$

$$\text{If } A^+ w = 0 \text{ then } A A^+ w = 0.$$

For the converse let  $w \in \ker A A^+$ ,  
that is,  $A A^+ w = 0$ .

This implies that

$$A^+ w \in \ker A = (\text{im } A^+)^{\perp}.$$

Therefore

$$\langle A^+ w, v \rangle = 0 \quad \forall v \in \text{im } A^+.$$

Thus  $A^+ w = 0$  and  $w \in \ker A^+$ .

□

## Trace

We will write  $L(V)$  for  $L(V, V)$ .

Trace is the linear operator

$$\text{Tr}: L(V) \rightarrow \mathbb{C}$$

uniquely determined by

$$\text{Tr}(|u\rangle\langle v|) = \langle v|u\rangle.$$

Pro: In matrix representation

$$\text{Tr}(A) = \sum_{a \in \Sigma} A(a, a)$$

Proof: We have

$$\text{Tr}(A) = \text{Tr} \left( \sum_{a, b} A(a, b) |a\rangle\langle b| \right)$$

$$= \sum_{a, b} A(a, b) \text{Tr}(|a\rangle\langle b|)$$

$$= \sum_{a, b} A(a, b) \underbrace{\langle b|a\rangle}_{\delta_{ab}}$$

$$= \sum_a A(a, a). \quad \square$$

Cor:  $\text{Tr}(AB) = \text{Tr}(BA)$ .

HW: Prove this.

$L(V, W)$  is a Hilbert space:

Hilbert-Schmidt (Frobenius) inner product

$$\langle A, B \rangle = \text{Tr}(A^* B).$$

The standard basis  $\{ |a\rangle\langle b| \}$  is orthonormal:

$$\begin{aligned} \langle |c\rangle\langle d|, |a\rangle\langle b| \rangle &= \text{Tr}(|d\rangle\langle c| \overbrace{|a\rangle\langle b|}^{\langle c|a\rangle}) \\ &= \delta_{ca} \delta_{bd} \\ &= \delta_{(c,d), (a,b)}. \end{aligned}$$

Pro: The adjoint of  $\text{Tr}$  is the linear operator  $\mathbb{1}_V : \mathbb{C} \rightarrow L(V)$ .

Proof: We have

$$\begin{aligned} \text{Tr}^+(1) &= \sum_{a,b} \langle |a\rangle\langle b|, \text{Tr}^+(1) \rangle |a\rangle\langle b| \\ &= \sum_{a,b} \langle \text{Tr}(|a\rangle\langle b|), 1 \rangle |a\rangle\langle b| \\ &= \sum_a |a\rangle\langle a| \\ &= \mathbb{1}_V \end{aligned}$$

□

# Classes of operators

$L(V)$

|

Normal operators

$$\text{Nor}(V) = \{ A \in L(V) : A^+A = AA^+ \}$$

|

Unitary operators  
 $U(V)$

Hermitian operators

$$\text{Her}(V) = \{ A \in L(V) : A = A^+ \}$$

|

Positive operators

$$P_{\geq}(V) = \{ B^+B : B \in L(V) \}$$

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Projective operators

$$\text{Proj}(V) = \{ \pi \in P_{\geq}(V) : \pi^2 = \pi \}$$

Density operators

$$\text{Den}(V) = \{ \rho \in P_{\geq}(V) : \text{Tr}(\rho) = 1 \}$$

Quantum states

Pure states

$$P(V) = \{ \pi \in \text{Proj}(V) : \text{Tr}(\pi) = 1 \}$$

A nonzero vector  $v \in V$  is called an eigenvector corresponding to  $\lambda \in \mathbb{C}$  if  $Av = \lambda v$ .

The number  $\lambda$  is called an eigenvalue.

Eigenspace corresponding to  $\lambda$ :

$$V_\lambda = \{ v \in V : Av = \lambda v \} \cup \{0\}.$$

Eigenvalues are the roots of the characteristic polynomial

$$\det(A - \lambda I_V).$$

Note that there is at least one nonzero solution.

Spectral decomposition theorem

Let  $A \in \text{Nor}(\mathbb{C} \Sigma)$ .

Then there exists an orthonormal basis  $\{ |v_a\rangle : a \in \Sigma \}$  such that

$$A = \sum_{a \in \Sigma} \lambda_a |v_a\rangle \langle v_a|.$$

Proof in the Appendix.

A matrix  $D: \Sigma \times \Sigma \rightarrow \mathbb{C}$  is called diagonal if  $D(a,b) = 0$  when  $a \neq b$ .

Cor:  $\forall A \in \text{Nor}(\mathbb{C}\Sigma)$  then there exist  $U \in U(\mathbb{C}\Sigma)$  such that

$$U A U^\dagger \text{ is diagonal.}$$

Proof: By the spectral theorem:

$$A = \sum_{a \in \Sigma} \lambda_a |v_a\rangle \langle v_a|.$$

Let  $U$  be defined by

$$U |v_a\rangle = |a\rangle.$$

Then

$$\begin{aligned} U A U^\dagger &= \sum_a \lambda_a U |v_a\rangle \langle v_a| U^\dagger \\ &= \sum_a \lambda_a |a\rangle \langle a| \end{aligned} \quad \square$$

We say  $A$  is unitarily diagonalizable if there exists  $U \in U(\mathbb{C}\Sigma)$  such that  $U A U^\dagger$  is diagonal.



## Characterizations of positive operators

The following are equivalent.

- 1)  $\langle v, Pv \rangle \in \mathbb{R}_{\geq 0} \quad \forall v \in V.$
- 2)  $P \in \text{Herm}(V)$  and eigenvalues of  $P$  belong to  $\mathbb{R}_{\geq 0}.$
- 3)  $P = A^+ A$  for some  $A \in L(V).$
- 4)  $\langle Q, P \rangle \in \mathbb{R}_{\geq 0} \quad \forall Q \in P_{\geq 0}(V).$

Proof: (1  $\Rightarrow$  2) By spectral decomposition:

$$P = \sum_{\alpha \in \Sigma} \lambda_{\alpha} |v_{\alpha}\rangle \langle v_{\alpha}|$$

We have  $\lambda_{\alpha} = \langle v_{\alpha}, P v_{\alpha} \rangle \in \mathbb{R}_{\geq 0}.$

$P$  is hermitian since its eigenvalues are real.

(2  $\Rightarrow$  3) Let  $A = \sum_{\alpha} \sqrt{\lambda_{\alpha}} |v_{\alpha}\rangle \langle v_{\alpha}|.$

Then  $P = A^+ A.$

(3  $\Rightarrow$  4) We can write  $Q = B^+ B.$

$$\begin{aligned} \text{Then } \langle Q, P \rangle &= \text{Tr}(Q P) \\ &= \text{Tr}(B^+ B A^+ A) \\ &= \text{Tr}(B A^+ (B A^+)^+) \\ &= \langle B A^+, B A^+ \rangle \in \mathbb{R}_{\geq 0} \end{aligned}$$

(4  $\Rightarrow$  1) Take  $Q = |v\rangle \langle v|.$

□

Polar decomposition theorem:

For  $A \in L(V, W)$  we have

$$A = U \sqrt{A^+ A} \quad (\text{left polar decomposition})$$

for some  $U \in U(V, W)$ .

Proof in the Appendix. (There is also right polar decomposition  $A = \sqrt{A A^+} U$ .)

Cor (Singular value theorem):

Let  $A \in L(V, W)$  be a nonzero linear operator such that  $\text{rank}(A) = r$ .

Then there exists orthonormal sets

$$\{v_a : a \in \Lambda\} \subset V \quad \text{and}$$

$$\{w_a : a \in \Lambda\} \subset W \quad \text{such that}$$

$$A = \sum_{a \in \Lambda} s_a |w_a\rangle \langle v_a|$$

where  $|\Lambda| = r$  and  $s_a \in \mathbb{R}_{>0}$ .

Proof: Since  $A^+ A \in \text{Pos}(V)$ , by the spectral decomposition we have

$$A^+ A = \sum_{a \in \Lambda} \lambda_a |v_a\rangle \langle v_a|.$$

where  $\lambda_a \in \mathbb{R}_{>0}$ .

Then

$$A = U \sqrt{A^+ A} = \sum_{a \in \Lambda} \underbrace{\sqrt{\lambda_a}}_{s_a} \underbrace{U |v_a\rangle \langle v_a|}_{|w_a\rangle}$$

include:  $U D V$



## Quantum states

We have seen that a register comes with a set  $\Sigma$  of classical states.

A probabilistic state on the register is a probability distribution, i.e., a function

$$p: \Sigma \rightarrow \mathbb{R}_{\geq 0}$$

such that  $\sum_{a \in \Sigma} p(a) = 1$ .

We will write  $\text{Dist}(\Sigma)$  for the set of probability distributions on  $\Sigma$ .

In quantum information theory states of registers are represented by quantum states.

A quantum state is a density operator of the form  $\rho \in \text{Den}(\mathbb{C}\Sigma)$ .

By spectral decomposition

$$\rho = \sum_{a \in \Sigma} p_a |v_a\rangle \langle v_a|$$

where  $p_a \geq 0$  and  $\sum_a p_a = 1$ .

That is  $p: \Sigma \rightarrow \mathbb{R}_{\geq 0}$  defined by  $p(a) = p_a$  is a probability distribution.

A probabilistic state  $p$  can be regarded as a quantum state represented by a diagonal density operator.

A quantum state is said to be pure if  $e^2 = e$ .

Pro: Every pure state is of the form  $|v\rangle\langle v|$  for some unit vector  $v \in V$ .

Moreover,

$$|v\rangle\langle v| = |u\rangle\langle u|$$

if and only if  $u = \alpha v$  for some  $\alpha \in U(\mathbb{C})$ .

HW: Prove this.

An ensemble of states is a function

$$\gamma: \Gamma \rightarrow \text{Pos}(\mathbb{C}\Sigma)$$

satisfying  $\text{Tr} \left( \sum_{a \in \Gamma} \gamma(a) \right) = 1$ .

Note that

$$\begin{aligned} p: \Gamma &\rightarrow \mathbb{R}_{\geq 0} \\ a &\mapsto \text{Tr}(\gamma(a)) \end{aligned}$$

is a probability distribution.

Given  $e \in \text{Der}(\mathbb{C}\Sigma)$  we have

$$e = \sum_{a \in \Sigma} \lambda_a |v_a\rangle \langle v_a|.$$

Then  $\gamma: \Sigma \rightarrow \text{Pos}(\mathbb{C}\Sigma)$  defined

by  $\gamma(a) = \lambda_a |v_a\rangle \langle v_a|$  is an ensemble of pure states.

Prop:  $\text{Der}(\mathbb{C}\Sigma)$  coincides with the set of ensembles of pure states.

## Tensor product

The tensor product of  $\mathbb{C}^\Sigma$  and  $\mathbb{C}^\Gamma$  is the Hilbert space

$$\mathbb{C}^\Sigma \otimes \mathbb{C}^\Gamma = \mathbb{C}[\Sigma \times \Gamma].$$

A vector in the tensor product is represented by  $v \otimes u$ :

$$v \otimes u = \sum_a \alpha_a |a\rangle \otimes \sum_b \beta_b |b\rangle$$

$$= \sum_{a,b} \alpha_a \beta_b \underbrace{|a\rangle \otimes |b\rangle}$$

We also write  $|a\rangle |b\rangle$  or  $|ab\rangle$ .

The inner product is given by

$$\langle v \otimes u, v' \otimes u' \rangle = \langle v, v' \rangle \langle u, u' \rangle.$$

The Hilbert space associated to a compound register is  $\mathbb{C}^\Sigma \otimes \mathbb{C}^\Gamma$ .

Hence a quantum state for such a register is a density operator  $\rho \in \text{Den}(\mathbb{C}^\Sigma \otimes \mathbb{C}^\Gamma)$ .

Given  $A: \mathbb{C}\Sigma \rightarrow \mathbb{C}\Sigma'$  and  $B: \mathbb{C}\Gamma \rightarrow \mathbb{C}\Gamma'$   
 the tensor product  $A \otimes B$  is defined by

$$A \otimes B: \mathbb{C}\Sigma \otimes \mathbb{C}\Gamma \rightarrow \mathbb{C}\Sigma' \otimes \mathbb{C}\Gamma'$$

$$A \otimes B (v \otimes u) = Av \otimes Bu.$$

Pro:  $(A \otimes B)^+ = A^+ \otimes B^+$ ,

$$\text{Tr}(A \otimes B) = \text{Tr}(A) \text{Tr}(B)$$

In Dirac notation we write

$$\begin{aligned} |v'\rangle \langle v| \otimes |u'\rangle \langle u| &= |v'\rangle \otimes |u'\rangle \langle v| \otimes \langle u| \\ &= |v'\rangle |u'\rangle \langle v| \langle u| \\ &= |v'u'\rangle \langle vu|. \end{aligned}$$

The operators  $\{ |a'b'\rangle \langle ab| \}$

form an orthonormal basis for

$$L(\mathbb{C}\Sigma \otimes \mathbb{C}\Gamma, \mathbb{C}\Sigma' \otimes \mathbb{C}\Gamma').$$

Partial trace

Given  $V \otimes W$  the partial trace  $\text{Tr}_W$  is the linear operator

$$\text{Tr}_W: L(V \otimes W) \rightarrow L(V)$$

defined by

$$\text{Tr}_W = \mathbb{1}_V \otimes \text{Tr}.$$

We have

$$\text{Tr}_W(A \otimes B) = A \otimes \text{Tr}(B).$$

Partial trace

$$\text{Tr}_V: L(V \otimes W) \rightarrow L(W)$$

▷ similarly defined.

For a compound register with a quantum state

$$\rho \in \text{Den}(V \otimes W)$$

the state associated to each register

is given by

$$\rho^V = \text{Tr}_W \rho \quad \text{and} \quad \rho^W = \text{Tr}_V \rho.$$



## Operator - vector correspondence

There is an isomorphism (of Hilbert spaces)

$$\text{vec}: L(\mathbb{C}^2, \mathbb{C}^2) \rightarrow \mathbb{C}^2 \otimes \mathbb{C}^2$$

defined by

$$\text{vec}(|a\rangle\langle b|) = |a\rangle|b\rangle.$$

We have

$$\begin{aligned} \langle |a\rangle\langle b|, |c\rangle\langle d| \rangle &= \text{Tr}(|b\rangle\langle a| |c\rangle\langle d|) \\ &= \langle a|c\rangle \langle d|b\rangle \\ &= \langle ab|cd\rangle \\ &= \langle |ab\rangle, |cd\rangle \rangle. \end{aligned}$$

For arbitrary vectors we have

$$\begin{aligned} \text{vec}(|v\rangle\langle u|) &= \sum_{a,b} v_a \bar{u}_b \text{vec}(|a\rangle\langle b|) \\ &= \sum_{a,b} v_a \bar{u}_b |a\rangle|b\rangle \\ &= |v\rangle| \bar{u} \rangle. \end{aligned}$$

lem:  $(A_0 \otimes A_1) \text{vec}(B) = \text{vec}(A_0 B A_1^T)$

Proof: By linearity it suffices to prove this for  $B = |a\rangle\langle b|$ .

Then

$$\begin{aligned} (A_0 \otimes A_1) \text{vec}(B) &= A_0 |a\rangle A_1 |b\rangle \\ &= A_0 |a\rangle (\langle b| A_1^T)^+ \\ &= \text{vec}(A_0 |a\rangle \langle b| A_1^T). \quad \square \end{aligned}$$

lem:  $\text{Tr}_V (\text{vec}(A) \text{vec}(B)^+) = A B^+$ .

Proof: By anti-linearity it suffices to prove this for  $B = |a\rangle\langle b|$ .

We have

$$\begin{aligned} \text{Tr}_V (\text{vec}(A) \text{vec}(B)^+) &= \text{Tr}_V (\text{vec}(A) (|a\rangle\langle b|)^+) \\ &= \text{Tr}_V (\text{vec}(A) \langle a|b\rangle) \\ &= \sum_{c,d} A(c,d) \text{Tr}_V (\underbrace{|c\rangle\langle d|}_{|c\rangle\langle d|} \langle a|b\rangle) \\ &= \sum_{c,d} A(c,d) |c\rangle\langle d| |b\rangle\langle a| \\ &= A B^+ \quad \square \end{aligned}$$

HW:  $\text{Tr}_W (\text{vec}(A) \text{vec}(B)^+) = A^T \bar{B}$ .

## Schmidt decomposition

Let  $|u\rangle \in V \otimes W$  be a nonzero vector.

Then there exists orthonormal sets

$$\{ |v_a\rangle : a \in \Lambda \} \subset V \quad \text{and}$$

$$\{ |w_a\rangle : a \in \Lambda \} \subset W \quad \text{such that}$$

$$|u\rangle = \sum_{a \in \Lambda} s_a |v_a w_a\rangle$$

where  $s_a \in \mathbb{R}_{>0}$  and  $\sum_{a \in \Lambda} s_a^2 = 1$ .

Proof: Let  $A \in L(W, V)$  be such that

$$\text{vec}(A) = |u\rangle.$$

By singular value decomposition

$$A = \sum_{a \in \Lambda} s_a |v_a\rangle \langle w_a|.$$

Then  $|u\rangle = \text{vec}(A) = \sum_a s_a |v_a \underbrace{\langle w_a|}_{w_a}\rangle$ .

We have

$$1 = \text{Tr}(|u\rangle \langle u|)$$

$$= \sum_a s_a^2$$

□

## Purification

Let  $P \in \mathcal{P}_{\mathbb{C}}(V)$ .

A vector  $|u\rangle \in V \otimes W$  is said to be a purification of  $P$  if

$$P = \text{Tr}_W (|u\rangle\langle u|).$$

Lem: The following are equivalent.

- 1) There exists a purification  $|u\rangle$  of  $P$ .
- 2) There exists  $A \in L(W, V)$  such that

$$P = A A^\dagger.$$

Proof: Since  $\text{vec}$  is an isomorphism any vector can be written as

$$|u\rangle = \text{vec}(A).$$

We have

$$\text{Tr}_W (|u\rangle\langle u|) = \text{Tr}_W (\text{vec}(A) \text{vec}(A)^\dagger)$$

$$\stackrel{\text{(Lem)}}{=} A A^\dagger.$$

□

## Purification theorem

There exists a purification  $|u\rangle \in V \otimes W$  of  $P$  if and only if

$$\dim W \geq \text{rank } P.$$

Proof: Purification exists if and only if there exists  $A \in L(W, V)$  such that  $P = A A^\dagger$ . (Lem)

This implies that

$$\text{rank}(P) = \text{rank}(A) \quad (\text{Cor.})$$

and therefore  $\text{rank } P \leq \dim W$ .

Conversely, by spectral decomposition

$$P = \sum_{a \in \Sigma} \lambda_a \underbrace{|v_a\rangle\langle v_a|}_{\in \mathbb{R}_{\geq 0}}$$

Since  $\dim W \geq \text{rank } P$  there exists an orthonormal set  $\{|w_a\rangle : a \in \Sigma\}$ .  
(of size  $|\Sigma|$ )

Then letting

$$A = \sum_{a \in \Sigma} \sqrt{\lambda_a} |v_a\rangle\langle w_a|$$

gives  $A A^\dagger = P$ .  $\square$

Unitary equivalence of purification

Let  $u, v \in V \otimes W$  be such that

$$\text{Tr}_W |u\rangle\langle u| = \text{Tr}_W |v\rangle\langle v|.$$

Then there exists  $U \in U(W)$  such

$$\text{that } |v\rangle = \mathbb{1}_V \otimes U |u\rangle,$$

Proof: Let  $A$  and  $B$  be such that

$$|u\rangle = \text{vec}(A) \text{ and } |v\rangle = \text{vec}(B).$$

We have

$$AA^{\dagger} = BB^{\dagger}.$$

By singular value decomposition

$$A = \sum_{a \in \Lambda} \sqrt{\lambda_a} |v_a\rangle \langle w_a|$$

$$B = \sum_{a \in \Lambda} \sqrt{\lambda_a} |v_a\rangle \langle \tilde{w}_a|.$$

Let  $\tilde{U} \in U(V)$  be such that

$$\tilde{U} |\tilde{w}_a\rangle = |w_a\rangle.$$

Then  $A\tilde{U} = B$  and setting  $U = \tilde{U}^{\dagger}$

we obtain

$$\mathbb{1}_V \otimes U |u\rangle = \mathbb{1}_V \otimes \tilde{U}^{\dagger} \text{vec}(A)$$

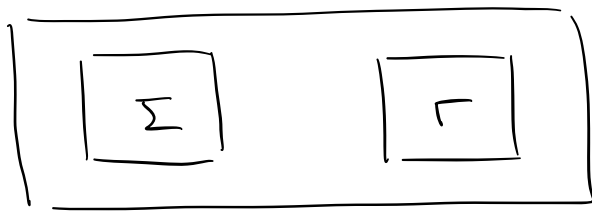
$$= \text{vec}(A\tilde{U}) \quad (\text{Lem.})$$

$$= \text{vec}(B)$$

$$= |v\rangle$$

□

Consider a compound register



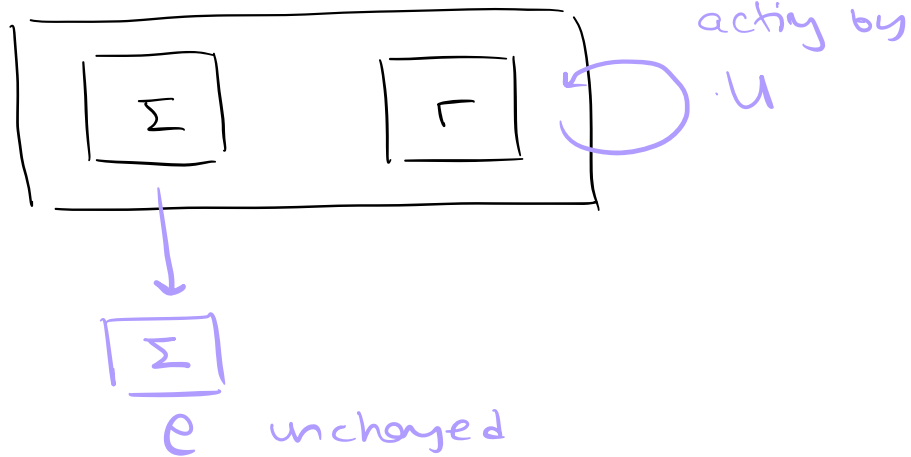
$$|v\rangle\langle v| \in \text{Den}(\mathbb{C}\Sigma \otimes \mathbb{C}\Gamma)$$

Let  $\rho = \text{Tr}_{\mathbb{C}\Gamma} |v\rangle\langle v|$ .

Note that for any  $U \in U(\mathbb{C}\Gamma)$

For  $|u\rangle = \mathbb{1}_{\mathbb{C}\Sigma} \otimes U |v\rangle$  we have.

$$\rho = \text{Tr}_{\mathbb{C}\Gamma} |u\rangle\langle u|$$



The theorem implies the converse:

$\forall |u\rangle \in \mathbb{C}\Sigma \otimes \mathbb{C}\Gamma$  such that

$$\rho = \text{Tr}_{\mathbb{C}\Gamma} |u\rangle\langle u|$$

then  $\exists U \in U(\mathbb{C}\Gamma)$  such that

$$|u\rangle = \mathbb{1}_{\mathbb{C}\Sigma} \otimes U |v\rangle.$$

## Fidelity

For  $A \in L(V, W)$  the trace norm is defined by

$$\|A\|_1 = \operatorname{Tr} \sqrt{A^*A}. \quad (\text{show that this is a norm})$$

Pro: For  $A \in L(V)$  we have

$$\|A\|_1 = \max \{ |\langle u, A \rangle| : u \in U(V) \}.$$

Proof: By singular value decomposition:

$$A = \sum_a s_a |w_a\rangle\langle v_a|.$$

Then

$$|\langle u, A \rangle|^2 = |\operatorname{Tr}(u^*A)|^2$$

$$= \left| \sum_a s_a \langle v_a, u^* w_a \rangle \right|^2$$

Cauchy-Schwarz  $\rightarrow$

$$\leq \sum_a s_a \underbrace{\|v_a\|}_{1} \underbrace{\|u^* w_a\|}_{1}$$

$$= \sum_a s_a$$

$$= \|A\|_1.$$

$$\|A\|_1 = \operatorname{Tr}(\sqrt{A^*A})$$

$$= \operatorname{Tr}\left(\sum_a s_a |v_a\rangle\langle v_a|\right)$$

$$= \sum_a s_a$$

This maximum is achieved at  $u$  that satisfies

$$A = u \sqrt{A^*A}. \quad \square$$



Cor: Let  $A \in L(V)$  and  $U_1, U_2 \in U(W, V)$ .

Then

$$U U_1^+ A U_2 U_1 = U A U_1.$$

Proof: Follows from

$$\begin{aligned} \langle U_1, U_1^+ A U_2 \rangle &= \text{Tr}(U^+ U_1^+ A U_2) \\ &= \text{Tr}(U_2 U^+ U_1^+ A) \\ &= \text{Tr}((U_1 U U_2^+)^+ A) \\ &= \langle U_1 U U_2^+, A \rangle \end{aligned}$$

and the Propositions.  $\square$

For  $P, Q \in \text{Pos}(V)$  the fidelity between  $P$  and  $Q$  is defined by

$$F(P, Q) = \| \sqrt{P} \sqrt{Q} \|_1.$$

More explicitly, we have

$$F(P, Q) = \text{Tr} \left( \sqrt{\sqrt{Q} P \sqrt{Q}} \right)$$

In particular, for a unit vector  $v \in V$ :

$$\begin{aligned} F(P, |v\rangle\langle v|) &= \text{Tr} \sqrt{|v\rangle\langle v|, P |v\rangle\langle v|} \\ &= \text{Tr} \left( \sqrt{\langle v, P v \rangle} |v\rangle\langle v| \right) \\ &= \sqrt{\langle v, P v \rangle}. \end{aligned}$$

In particular

$$F(|u\rangle\langle u|, |v\rangle\langle v|) = |\langle u, v \rangle|.$$

Pro: The following properties hold.

1)  $F(P, Q) \geq 0$  with equality if and only if  $PQ = \mathbb{0}$

2)  $F(P, Q)^2 \leq \text{Tr}(P) \text{Tr}(Q)$  with equality if and only if  $P$  and  $Q$  are linearly dependent.

Proof: We have

$$F(P, Q) = \| \sqrt{P} \sqrt{Q} \|_1 \geq 0$$

with equality if and only if  $\sqrt{P} \sqrt{Q} = \mathbb{0}$

since  $\| \cdot \|_1$  is a norm. The latter condition

is equivalent to  $PQ = \mathbb{0}$ . (Exercise:  $\sqrt{P} \sqrt{Q} = \mathbb{0} \Leftrightarrow \| \sqrt{P} \sqrt{Q} \|_1 = 0$ .)

For the second property we have

$$\begin{aligned} \| \sqrt{P} \sqrt{Q} \|_1^2 &\stackrel{\text{Pro}}{=} | \langle U, \sqrt{P} \sqrt{Q} \rangle |^2 \\ &= | \langle \sqrt{P} U, \sqrt{Q} \rangle |^2 \\ &\stackrel{\text{Cauchy-Schwarz}}{\leq} \| \sqrt{P} U \|_2^2 \| \sqrt{Q} \|_2^2 \\ &= \text{Tr}(U^+ \sqrt{P} \sqrt{P} U) \text{Tr}(\sqrt{Q} \sqrt{Q}) \\ &= \text{Tr}(P) \text{Tr}(Q) \end{aligned}$$

When  $P$  and  $Q$  are linearly dependent,

i.e.  $\alpha P + \beta Q = \mathbb{0}$  where  $\alpha, \beta \in \mathbb{C}$ ,

we can directly show that  $\hookrightarrow$  not all zero

$$\| \sqrt{P} \sqrt{Q} \|_1 = \text{Tr}(P) \text{Tr}(Q).$$

On the other hand, if  $P$  and  $Q$  are linearly independent then <sup>(exercise)</sup> so are  $\sqrt{P}U$  and  $\sqrt{Q}$ . This implies a strict inequality. (by Cauchy-Schwarz)  $\square$

Cor: For  $\rho, \sigma \in \text{Den}(V)$  we have  
 $0 \leq F(\rho, \sigma) \leq 1$

where

- 1)  $F(\rho, \sigma) = 0$  if and only if  $\rho\sigma = 0$ ,
- 2)  $F(\rho, \sigma) = 1$  if and only if  $\rho = \sigma$ .

Pro: For  $U \in L(V, W)$  we have

$$F(U\rho U^+, U\sigma U^+) = F(\rho, \sigma).$$

Proof: We have

$$\begin{aligned} \|\sqrt{U\rho U^+} \sqrt{U\sigma U^+}\|_1 &= \|U\sqrt{P}U^+ U\sqrt{Q}U^+\|_1 \\ &= \|U\sqrt{P}\sqrt{Q}U\|_1 \quad \square \\ &\stackrel{\text{Cor}}{=} \end{aligned}$$

By spectral decomposition  $P = \sum_a \lambda_a |v_a\rangle\langle v_a|$

Then  $U\rho U^+ = \sum_a \lambda_a U|v_a\rangle\langle v_a|U^+$ .

Therefore

$$\begin{aligned} \sqrt{U\rho U^+} &= \sum_a \sqrt{\lambda_a} U|v_a\rangle\langle v_a|U^+ \\ &= U \left( \sum_a \sqrt{\lambda_a} |v_a\rangle\langle v_a| \right) U^+ \\ &= U\sqrt{P}U^+. \end{aligned}$$

## Uhlmann's theorem

Let  $V$  and  $W$  be Hilbert spaces.

Let  $P, Q \in \text{Pos}(V)$  be such that  
 $\text{rank}(P), \text{rank}(Q) \leq \dim(W)$ .

Let  $u \in V \otimes W$  be a purification of  $P$ .

Then

$$F(P, Q) = \max \left\{ |\langle v, u \rangle| : v \in V \otimes W, \text{Tr}_W(|v\rangle\langle v|) = Q \right\}$$

lem: For  $A, B \in L(W, V)$  we have

$$F(AA^+, BB^+) = \|A^+B\|,$$

Proof: Consider the polar decomposition

$$L(V \otimes W) \ni \begin{pmatrix} \mathbb{0} & A \\ \mathbb{0} & \mathbb{0} \end{pmatrix} = PU$$

$$\begin{aligned} \text{We have } P^2 &= \begin{pmatrix} \mathbb{0} & A \\ \mathbb{0} & \mathbb{0} \end{pmatrix} \begin{pmatrix} \mathbb{0} & A \\ \mathbb{0} & \mathbb{0} \end{pmatrix}^+ \\ &= \begin{pmatrix} \mathbb{0} & A \\ \mathbb{0} & \mathbb{0} \end{pmatrix} \begin{pmatrix} \mathbb{0} & \mathbb{0} \\ A^+ & \mathbb{0} \end{pmatrix} \\ &= \begin{pmatrix} AA^+ & \mathbb{0} \\ \mathbb{0} & \mathbb{0} \end{pmatrix} \end{aligned}$$

$$\text{and } P = \begin{pmatrix} \sqrt{AA^+} & \mathbb{0} \\ \mathbb{0} & \mathbb{0} \end{pmatrix}.$$

Similarly we have

$$\begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} = QV$$

where

$$Q = \begin{pmatrix} \sqrt{BB^+} & 0 \\ 0 & 0 \end{pmatrix}.$$

Then

$$F(AA^+, BB^+) = \| \sqrt{AA^+} \sqrt{BB^+} \|_1$$

$$= \| \begin{pmatrix} \sqrt{AA^+} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{BB^+} & 0 \\ 0 & 0 \end{pmatrix} \|_1,$$

$$= \| P Q \|_1 \quad \begin{pmatrix} \sqrt{AA^+} \sqrt{BB^+} & 0 \\ 0 & 0 \end{pmatrix}$$

$$\stackrel{\text{Cor}}{=} \| U^+ P Q V \|_1,$$

$$= \| (PU)^+ (QV) \|_1,$$

$$= \| \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix}^+ \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} \|_1,$$

$$= \| \begin{pmatrix} 0 & 0 \\ A^+ & 0 \end{pmatrix} \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} \|_1,$$

$$= \| \begin{pmatrix} 0 & 0 \\ 0 & A^+ B \end{pmatrix} \|_1,$$

$$= \| A^+ B \|_1.$$

□

Cor: For  $u, v \in V \otimes W$  we have

$$F(\text{Tr}_W |u\rangle\langle u|, \text{Tr}_W |v\rangle\langle v|) = \|\text{Tr}_V |v\rangle\langle u|\|_1$$

Proof: Let  $A, B \in L(W, V)$  be such that  $\text{vec}(A) = u$  and  $\text{vec}(B) = v$ .

We have

$$\begin{aligned} F(\text{Tr}_W |u\rangle\langle u|, \text{Tr}_W |v\rangle\langle v|) &= F(A A^\dagger, B B^\dagger) \\ &= \|A^\dagger B\|_1 \\ &= \|(A^\dagger B)^\top\|_1 \\ &\stackrel{\text{Lem}}{=} \|\text{Tr}_V |v\rangle\langle u|\|_1. \end{aligned}$$

□

Proof of Uhlmann's theorem

By the unitary equivalence of purifications:

$$\begin{aligned} &\max \{ |\langle u, v \rangle| : v \in V \otimes W, \text{Tr}_W |v\rangle\langle v| = \rho \} \\ &= \max \{ |\langle u, (\mathbb{1}_V \otimes U) w \rangle| : U \in U(W) \} \end{aligned}$$

some fixed purification

Let  $A, B$  be such that

$$\begin{aligned} \text{vec}(A) &= u \\ \text{vec}(B) &= w. \end{aligned}$$

HW:

$$B U^\top = \mathbb{1}_V \otimes U \text{vec}(B)$$

Then

$$\begin{aligned} \langle u, \mathbb{1}_V \otimes U w \rangle &= \langle A, B U^\top \rangle \\ &= \langle \bar{u}, A^\dagger B \rangle \end{aligned}$$

$$\begin{aligned}
&= \max \{ |\langle \bar{u}, A^+ B \rangle| : u \in U(w) \} \\
&\stackrel{\text{Pro}}{=} \|A^+ B\|, \\
&\stackrel{\text{Len}}{=} F(AA^+, BB^+) = F(P, Q). \quad \square
\end{aligned}$$

Cor:  $F(P, Q) = F(Q, P)$ .

Proof: This follows from unitary equivalence of purifications:

$$\begin{aligned}
F(P, Q) &= \max \{ |\langle u, \mathbb{1} \otimes U w \rangle| : u \in U(w) \} \\
&= \max \{ |\langle \mathbb{1} \otimes U^+ u, w \rangle| : u \in U(w) \} \\
&= \max \{ |\langle w, \mathbb{1} \otimes U^+ u \rangle| : u \in U(w) \} \\
&= \max \{ |\langle w, \mathbb{1} \otimes U u \rangle| : u \in U(w) \} \\
&= F(P, Q). \quad \square
\end{aligned}$$

Alternatively this also follows from  $\|A\|_1 = \|A^+\|_1$ .

# Alternative proof of Uhlmann's theorem

$$F(P, Q) = \max \{ |\langle u, U \otimes v \rangle| : u \in U(W) \}$$

$$|u\rangle = \sum_a \sqrt{P} \otimes \mathbb{1}_W |aa\rangle$$

$$|v\rangle = \sum_a \sqrt{Q} \otimes \mathbb{1}_W |aa\rangle$$

$|u\rangle$  purifies  $P$ .  
 $|v\rangle$  purifies  $Q$ . } Exercise

$$|\langle u, U \otimes v \rangle|$$

$$= \left| \sum_{a,b} \langle aa | \sqrt{P} \otimes \mathbb{1}_W \sqrt{Q} \otimes U |bb\rangle \right|$$

$$= \left| \sum_{a,b} \langle aa | \sqrt{P} \sqrt{Q} \otimes U |bb\rangle \right|$$

$$= \left| \sum_{a,b} \langle aa | \sqrt{P} \sqrt{Q} \otimes \sum_{c,d} U(c,d) |c\rangle \langle d| |bb\rangle \right|$$

$$= \left| \sum_{a,b} \langle a | \sqrt{P} \sqrt{Q} U(a,b) |b\rangle \right|$$

$$\text{Tr}(\langle a | \sqrt{P} \sqrt{Q} U(a,b) |b\rangle)$$

$$= \left| \text{Tr} \left( \sqrt{P} \sqrt{Q} \underbrace{\sum_{a,b} U(a,b) |b\rangle \langle a|}_{U^T} \right) \right|$$

$$= \left| \text{Tr}(\sqrt{P} \sqrt{Q} U^T) \right|$$

Lem  $\leq \text{Tr}(|\sqrt{P} \sqrt{Q}|)$

$$= \|\sqrt{P} \sqrt{Q}\|_1$$

$$|A| = \sqrt{A^* A}$$



Taking  $U^T = B^\dagger$  where  $B \in U(V)$  is such that  
 $\sqrt{P} \sqrt{Q} = |\sqrt{P} \sqrt{Q}| B$  (polar decomposition)

we get

$$\begin{aligned} |\operatorname{Tr}(\sqrt{P} \sqrt{Q} U^T)| &= |\operatorname{Tr}(\sqrt{P} \sqrt{Q} B^\dagger)| \\ &= |\operatorname{Tr}(|\sqrt{P} \sqrt{Q}|)| \\ &= \operatorname{Tr}(|\sqrt{P} \sqrt{Q}|) \in \mathbb{R}_{\geq 0} \end{aligned}$$

This max gives the fidelity. □

lem: For  $A \in L(V)$  and  $U \in U(V)$  we have

$$|\operatorname{Tr}(AU)| \leq \operatorname{Tr} |A|$$

with equality for  $U = B^\dagger$  where  $A = |A| B$  is the polar decomposition.

Proof: We have

$$\begin{aligned} |\operatorname{Tr}(AU)| &= |\operatorname{Tr}(|A| B U)| \\ &= |\operatorname{Tr}(\sqrt{|A|} \sqrt{|A|} B U)| \\ &= |\langle \sqrt{|A|}, \sqrt{|A|} B U \rangle| \\ &\leq \sqrt{\operatorname{Tr}(|A|) \operatorname{Tr}(U^\dagger B^\dagger |A| B U)} \\ &= \operatorname{Tr} |A|. \end{aligned}$$

*Cauchy-Schwarz* ← → ←

When  $U = B^\dagger$  the equality holds in

Cauchy-Schwarz ineq. □

## Strong concavity of fidelity

For  $p, q \in \text{Dist}(\Lambda)$

$$F\left(\sum_{a \in \Lambda} p_a P_a, \sum_{a \in \Lambda} q_a Q_a\right) \geq \sum_{a \in \Lambda} \sqrt{p_a q_a} F(P_a, Q_a).$$

Proof: By Uhlmann's theorem there exist purifications  $u_a$  and  $v_a$  such that

$$F(P_a, Q_a) = |\langle u_a | v_a \rangle|.$$

Let  $u = \sum_{a \in \Lambda} |a\rangle \langle a| u_a$ . Then

$\uparrow$  purifies  $P_a$   $\leftarrow$  purifies  $Q_a$

$$|u\rangle = \sum_{a \in \Lambda} \sqrt{p_a} |u_a\rangle |a\rangle \quad \text{and} \quad |v\rangle = \sum_{a \in \Lambda} \sqrt{q_a} |v_a\rangle |a\rangle$$

are purifications for

$$P = \sum_a p_a P_a \quad \text{and} \quad Q = \sum_a q_a Q_a.$$

Verify  $\text{Tr}_{\text{aux}} |u\rangle \langle u| = P$ , similarly for  $Q$ .

Again by Uhlmann's theorem

$$\begin{aligned} F(P, Q) &\geq |\langle u | v \rangle| \\ &= \sum_a \sqrt{p_a q_a} |\langle u_a | v_a \rangle| \\ &= \sum_a \sqrt{p_a q_a} F(P_a, Q_a) \end{aligned}$$

□

## Appendix: Proofs of some theorems

Proof of Cauchy-Schwarz inequality

If  $u = \alpha v$  then the inequality holds.

Assume  $u \neq 0$  and  $\{v, u\}$  linearly independent.

Gram-Schmidt gives an orthonormal set  $\{\vec{v}_1, \vec{v}_2\}$  where  $\vec{v}_1 = v / \|v\|$ .

We can express  $v$  as

$$u = \langle \vec{v}_1, u \rangle \vec{v}_1 + \langle \vec{v}_2, u \rangle \vec{v}_2.$$

Then  $\langle u, u \rangle \langle v, v \rangle$  is given by

$$\begin{aligned} & \langle \sum_i \langle \vec{v}_i, u \rangle \vec{v}_i, \sum_i \langle \vec{v}_i, u \rangle \vec{v}_i \rangle \langle v, v \rangle \\ &= \left( \sum_i \langle u, \vec{v}_i \rangle \langle \vec{v}_i, u \rangle \right) \langle v, v \rangle \\ &\geq \langle u, \vec{v}_1 \rangle \langle \vec{v}_1, u \rangle \langle v, v \rangle \\ &= \langle u, v \rangle \langle v, u \rangle \frac{\langle v, v \rangle}{\|v\|^2} \\ &= |\langle u, v \rangle|^2 \end{aligned}$$

□

## Proof of the spectral decomposition theorem

We will do induction on  $|\Sigma|$ .

For  $|\Sigma| = 1$  we have

$$A = \lambda |a\rangle\langle a|.$$

Assume  $|\Sigma| \geq 2$ .

Let  $\lambda$  be an eigenvalue of  $A$  and

let  $\Pi$  be the projector onto  $V_\lambda$ :

$$\Pi = \sum_{b \in \Gamma} |v_b\rangle\langle v_b|$$

where  $\{|v_b\rangle : b \in \Gamma\}$  is an orthonormal basis of  $V_\lambda$ .

Define another projector

$$\Pi^\perp = \mathbb{1}_V - \Pi.$$

Observe that

$$\Pi \Pi^\perp = \Pi^\perp \Pi = \mathbb{0}.$$

We have

$$A = \mathbb{1}_V A \mathbb{1}_V$$

$$= (\Pi + \Pi^\perp) A (\Pi + \Pi^\perp)$$

$$= \Pi A \Pi + \underbrace{\Pi^\perp A \Pi + \Pi A \Pi^\perp}_{\text{claim: this is } \mathbb{0}} + \Pi^\perp A \Pi^\perp$$

Claim 1:  $\Pi^\perp A \Pi = \mathbb{0}$  :

$$\Pi^\perp A \Pi v = \lambda \underbrace{\Pi^\perp \Pi v}_{\mathbb{0}} = \mathbb{0} \quad \forall v \in V.$$

*in  $V_\lambda$*

Claim 2:  $\Pi A \Pi^\perp = \mathbb{0}$  :

For  $w \in V_\lambda$  we have

$$A A^\dagger w = A^\dagger A w = \lambda \underbrace{A^\dagger w}_{\text{Therefore in } V_\lambda}.$$

Then similar to claim 1 we can show

$$\Pi^\perp \underbrace{A^\dagger \Pi v}_{\text{in } V_\lambda} = \mathbb{0}.$$

*in  $V_\lambda$*

$$\Pi^\perp A^\dagger \underbrace{\Pi v}_{\text{in } V_\lambda} = \lambda \underbrace{\Pi^\perp \Pi v}_{\mathbb{0}} = \mathbb{0}.$$

$$\Rightarrow \Pi^\perp A^\dagger \Pi = \mathbb{0} \quad \Rightarrow \quad \Pi A \Pi^\perp = \mathbb{0}.$$

*adjoint*

Therefore  $A = \Pi A \Pi + \Pi^\perp A \Pi^\perp$ .

Claim 3:  $\Pi^\perp A \Pi^\perp$  is normal:

First observe that

$$(A) \quad \Pi^\perp A = \Pi^\perp A (\Pi + \Pi^\perp) = \Pi^\perp A \Pi^\perp$$

$$(B) \quad \Pi^\perp A^\dagger = \Pi^\perp A^\dagger (\Pi + \Pi^\perp) = \Pi^\perp A^\dagger \Pi^\perp.$$

Then

$$(\Pi^\perp A \Pi^\perp) (\Pi^\perp A^\dagger \Pi^\perp)$$

$$\begin{aligned}
&= (\Pi^\perp A \Pi^\perp) A^\dagger \Pi^\perp \\
&\stackrel{(A)}{=} \Pi^\perp A A^\dagger \Pi^\perp \\
&= \Pi^\perp A^\dagger A \Pi^\perp \\
&\stackrel{(B)}{=} (\Pi^\perp A^\dagger \Pi^\perp) A \Pi^\perp \\
&= (\Pi^\perp A \Pi^\perp) (\Pi^\perp A \Pi^\perp).
\end{aligned}$$

Let  $U = \{ \Pi^\perp v : v \in V \}$ .

Then  $\Pi^\perp A \Pi^\perp \in L(U)$

where

$$\dim(U) < \dim(V).$$

By induction we have

$$\Pi^\perp A \Pi^\perp = \sum_a \lambda_a |u_a\rangle \langle u_a|$$

for some orthonormal basis  $\{ |u_a\rangle : a \in \Gamma \}$  of  $U$ .

Let  $\{ |w_b\rangle \}_{b \in \Lambda}$  be an orthonormal basis for  $V_\lambda$ .

Then

$$A = \sum_{b \in \Lambda} \lambda |w_b\rangle \langle w_b| + \sum_{a \in \Gamma} \lambda_a |u_a\rangle \langle u_a|$$

□

## Proof of polar decomposition

The unitary  $U$  is constructed as follows: By spectral decomposition

$$\sqrt{A^+A} = \sum_{a \in \Lambda} \sqrt{\lambda_a} |v_a\rangle \langle v_a|$$

where  $\sqrt{\lambda_a} \in \mathbb{R}_{>0}$

Let

$$|u_a\rangle = \frac{1}{\sqrt{\lambda_a}} A |v_a\rangle$$

where  $a \in \Lambda' = \{a \in \Lambda : \lambda_a \neq 0\}$ .

The set  $\{|u_a\rangle : a \in \Lambda'\}$  and can be extended to an orthonormal basis  $\{|u_a\rangle : a \in \Lambda\}$ .

Let

$$U = \sum_{a \in \Sigma} |u_a\rangle \langle v_a|.$$

(Omitted: Proving that  $A = U\sqrt{A^+A}$ .)  $\square$

For linear algebra background see

Linear Algebra Done Right by

Axler.